



COPIES OF PAPERS  
ORIGINALLY FILED

Attorney's Docket No.: 08206-013001 / PH-749PC/US

RECEIVED  
MAR 28 2002  
TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Nishino, N., et al.

Art Unit : Unknown

Serial No. : 09/945,237

Examiner : Unknown

Filed : August 31, 2001

Title : NOVEL CYCLIC TETRAPEPTIDE DERIVATIVES AND PHARMACEUTICAL  
USES THEREOF

Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Please correct the Filing Receipt for the above-referenced application to include claimed priority to JP11/53851 1999, filed March 2, 1999.

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience.

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

*Oct. 15, 2001*

*Gregory P. Einhorn*  
Gregory P. Einhorn  
Reg. No. 38,440

Fish & Richardson P.C.  
4350 La Jolla Village Drive, Suite 500  
San Diego, California 92122  
Telephone: (858) 678-5070  
Facsimile: (858) 678-5099

10140248.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

October 17, 2001

Date of Deposit

Signature

*Jeanne Amour-Rice*

Jeanne Amour-Rice

Typed or Printed Name of Person Signing Certificate



FEB 26 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

MAR 28 2002

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
TECH CENTER 1600/2900  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/945,237	08/31/2001	1646	0.00	08206-013001	2	9	1

COPY OF PAPER  
ORIGINALLY FILED

CONFIRMATION NO. 2322

FILING RECEIPT



\*OC000000006858268\*

GREGORY P. EINHORN  
Fish & Richardson P.C.  
Suite 500  
4350 La Jolla Village Drive  
San Diego, CA 92122

Date Mailed: 10/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Norikazu Nishino, Fukuoka, JAPAN;  
Minoru Yoshida, Saitama, JAPAN;  
Sueharu Horinouchi, Tokyo, JAPAN;  
Yasuhiko Komatsu, Saitama, JAPAN;

RECEIVED  
OCT 10 2001

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF PCT/JP00/01141 02/28/2000

which claims priority to JP11/53851 1999  
FILED MARCH 2, 1999

Foreign Applications

FISH & RICHARDSON P.C.  
LA JOLLA, CA

If Required, Foreign Filing License Granted 10/05/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Novel cyclic tetrapeptide derivatives and pharmaceutical uses thereof

Docketed By: CONRAD CRD  
ADD. PRIORITY: 11-53851  
Initials: BS  
Record: 24595180

**Preliminary Class**

530

---

**LICENSE FOR FOREIGN FILING UNDER**  
**Titl 35, United States Cod , S ction 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).